Federal Maritime Commission

Washington, D.C. 20573-0001 OCEAN TRANSPORTATION INTERMEDIARY LICENSE OCEAN FREIGHT FORWARDER AND NON-VESSEL-OPERATING COMMON CARRIER

NO. 032755NF

THIS IS TO CERTIFY THAT

A-ONE FREIGHT FORWARDERS INC

is the holder of an Ocean Transportation Intermediary License and, as such, is duly authorized to carry on the business of providing freight forwarder services to facilitate shipment via an oceangoing common carrier from the United States and its territories or possessions to foreign countries and non-vessel-operating common carrier services for the provision of transportation by water via common carriers between the United States, its territories or possessions, and foreign countries.

This license is conferred pursuant to the authority contained in Section 19 of the Shipping Act of 1984 and is subject to the provisions of said Act, Part 515 of Title 46 of the Code of Federal Regulations as it is or may be amended, and the pertinent provisions of other applicable regulations promulgated under the foregoing Act.

By authority of the Federal Maritime Commission

CINDY HENNIGAN HENNIGAN 18:18:15-04'00'

Director Bureau of Certification and Licensing



FEDERAL MARITIME COMMISSION BUREAU OF CERTIFICATION AND LICENSING OFFICE OF OCEAN TRANSPORTATION INTERMEDIARIES 800 North Capitol Street, N.W. Washington, D.C. 20573-0001

> Phone: (202) 523-5843 Fax: (202) 566-0011

Trade Tech, Inc. Attn: Thomas Lloyd 62 Franklin Street Westerly, RI, 02891

Licensee: A-ONE FREIGHT FORWARDERS INC Organization Number: 032755 License Number: 032755NF Qualifying Individual: William F Woods, Secretary Industry Analyst: Jaidyn Schulke E-mail: jschulke@fmc.gov

Dear Mr. Lloyd::

Enclosed is your client's new Ocean Transportation Intermediary ("OTI") license as identified above.

As a licensee, it is important that your client and its employees are familiar with the OTI regulations located at 46 CFR Part 515, *Licensing, Financial Responsibility Requirements, and General Duties for Ocean Transportation Intermediaries.* The regulations may be viewed at the Commission's website at www.fmc.gov. In particular, please note the following specific requirements:

- 1. Business must be carried out only under the name in which the license has been issued and the licensee's name and license number must be permanently imprinted on all stationery and billing forms. *See* 46 CFR § 515.31.
- 2. Any changes to information provided in the original license application must be reported to this Bureau within thirty (30) days, see 46 CFR § 515.12(e); also certain changes require Commission approval, see 46 CFR § 515.20.
- 3. Regulations governing freight forwarder compensation must be strictly adhered to. See 46 CFR §515.42.
- 4. As a freight forwarder, the licensee must perform freight forwarder duties in compliance with 46 CFR § 515.32.
- 5. As a non-vessel-operating common carrier ("NVOCC"), the licensee must comply with 46 CFR Part 520, *Carrier Automated Tariff Systems*.
- 6. Periodic renewal is required to maintain the license. Please refer to the Commission's Ocean Transportation Intermediaries (OTI) List at <u>https://www2.fmc.gov/oti/</u> for your renewal date. *See* 46 CFR §515.14.

It is important that licensees comply with the Shipping Act of 1984 and the Commission's regulations, as failure to do so could result in license revocation or suspension and/or significant monetary penalties. Please advise your client that the Commission conducts an audit program of OTI licensees to assist with and to monitor compliance with all applicable statutes and regulations. Should you or your client have any questions, please contact the industry analyst identified above.

Very truly yours,

Aline Hull Digitally signed by Aline Hull Date: 2023.09.11 16:15:29 -04'00'

Aline Hull, Director Office of Ocean Transportation Intermediaries

Enclosure

Cc: A-One Freight Forwarders Inc Attn: William Woods Jr. 1032 E Brandon Blvd Suite 2288 Brandon, FL 33511 scotlandgolf@aol.com